

Patent

Attorney's Docket No. P2080/445**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
	)	
James D. Kelly	)	Group Art Unit: Unassigned
	)	
Application No.: 08/779,632	)	Examiner: Unassigned
	)	
Filed: January 7, 1997	)	BOX DAC
	)	
For: BUS TRANSACTION REORDERING	)	Attention: Office of Petitions
IN A COMPUTER SYSTEM HAVING	)	
UNORDERED SLAVES	)	

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT - MPEP § 11.03(c)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant hereby petitions the Assistant Commissioner of Patents to withdraw the holding of abandonment of the subject application.

After receiving no response to Status Inquiries filed by Applicant on July 2, 1997 and November 26, 1997, Applicant has learned through a telephone inquiry to the U.S. Patent Office that the application is in the "abandoned area", but has not yet been abandoned, for failure to respond to a Notice to File Missing Parts dated March 20, 1997. A copy the front page of said Notice to File Missing Parts is attached, as faxed to Applicant by Doshie Day of the U.S. Patent Office on February 5, 1998, as a result of the telephone inquiry.

The undersigned has made a search of the application file jacket and firm docket records. This search indicates that no such Notice of Missing Parts was received. A copy of the docket record where the non-received Notice of Missing Parts would have been

**RECEIVED****OCT 06 1998****OFFICE OF PETITIONS  
DEPUTY A/C PATENTS****RECEIVED****SEP 30 1998****SPECIAL PROGRAMS OFFICE  
DAC FOR PATENTS**

Application Serial No. 08/779,632  
Attorney's Docket No. P2080/445

entered had it been received and docketed is attached. In particular, had the Notice of Missing Parts been received, the Declaration/Power of Attorney and surcharge would have been docketed for May 20, 1997.


The column format of the docket sheets is as follows: due date, attorney docket number, action to be taken, client, inventor, initials of responsible attorney, and country. The client and inventor columns have been redacted. The attorney docket number for this application is P2080/445, as indicated on the application as originally filed. As may be seen from the docket report, no entry for this docket number is found.

Based on this showing, withdrawal of the holding of abandonment is respectfully requested.

Accompanying this Petition is the executed Declaration and Transmittal Letter for Missing Parts of Application, authorizing payment of the \$130.00 surcharge from Applicant's deposit account.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
Michael J. Ute  
Registration No. 33,089

Post Office Box 1404  
Alexandria, Virginia 22313-1404  
(650) 854-7400  
Date: April 13, 1998

Patent  
Attorney's Docket No. P2080/445

#5

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
James D. Kelly ) Group Art Unit: Unassigned  
Application No.: 08/779,632 ) Examiner: Unassigned  
Filed: January 7, 1997 ) BOX DAC  
For: BUS TRANSACTION REORDERING ) Attention: Office of Petitions  
IN A COMPUTER SYSTEM HAVING )  
UNORDERED SLAVES )

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT**  
**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a Petition to Withdraw Holding of Abandonment - MPEP §711.03(c) for  
the above-identified patent application.

The Commissioner is hereby authorized to charge any appropriate fees under 37  
C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any  
overpayment, to Deposit Account No. 02-4800. This paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Michael J. Ure

Michael J. Ure  
Registration No. 33,089

Post Office Box 1404  
Alexandria, Virginia 22313-1404  
(650) 854-7400  
Date: April 13, 1998

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01 FC:122 130.00 CH

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OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

P2030/445

MJU/ser

(Fouched 4/14/98)

C/O 1580-445

Petition to withdraw holding of Abandonment with  
attached copy of Notice to File Missing Parts and  
copies of docket records; Transmittal Letter;

PAPER:

executed Combined Declaration/Power of Attorney;  
Transmittal Letter for Missing Parts of Application

INVENTOR:

Kelly

SERIAL NO:

08/779,632

FILING DATE:

January 7, 1997

210 APR 15 1998

RECEIVED BY THE UNITED STATES PATENT OFFICE

4/15/98

3,1825

cc.

**BURNS, DOANE, SWECKER & MATHIS, L.L.P.**

ATTORNEYS AT LAW

3000 SAND HILL ROAD  
BUILDING 4 - SUITE 160  
MENLO PARK, CALIFORNIA 94025-7116

TELEPHONE: (650) 854-7400

TO: Doshie Day, U.S. Patent Office  
Customer Corrections Branch

FROM: Sharon E. Ryan

DATE: August 27, 1998

PAGES TO FOLLOW: 15

RE: USSN 08/779,632, filed January 7, 1997

**THE FIRM'S FACSIMILE TRANSMITTERS:****Menlo Park:**

Group 3 (650) 854-8275

Group 4 (650) 854-8908

If Unable, Then Fax To Our  
Alexandria, Virginia Office:

Group 3 (703) 836-2021

Group 3 (703) 836-3503

Group 3 (703) 836-7356

Group 4 (703) 836-0028

**MESSAGE:** Per our telephone conversation this morning, please see attached Petition to Withdraw Holding of Abandonment with regard to failure to respond to Notice to File Missing Parts. This Petition was filed on April 15, 1998, after our telephone conversation. After receipt of the Petition, you assured me that an Official Filing Receipt would be forthcoming. Instead, we received a Notice of Abandonment dated April 27, 1998. Please review this fax and then contact me with any comments you might have or let me know any additional information you might need. Thanks for your help.

Client No. 001580-445

Fax Tel. No. (703) 305-9863


Any questions regarding compatibility should be directed to our Office Services Department at 703/836-6620 in Alexandria, at 650/854-7400 in Menlo Park, and at 919/941-9240 in Durham.

Menlo Park Fax No.: (650) 854-8275

Durham Fax No.: (919) 941-1515

**NOTE:** The information contained in this facsimile message is attorney-client privileged and contains confidential information intended only for the use of the individual named above and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copy of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone (703/836-6620 in Alexandria, 650/854-7400 in Menlo Park, and 919/941-9240 in Durham) and return the original transmission to us by mail without making a copy.

(RDSM 9/97)

COMBINED DECLARATION AND POWER OF ATTORNEY			Attorney Docket No. P2080/445																																																													
COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED																																																													
			YES_ NO_																																																													
			YES_ NO_																																																													
<p>I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">William L. Mathis</td> <td style="width: 10%;">17,337</td> <td style="width: 33%;">Patrick C. Keane</td> <td style="width: 10%;">32,858</td> <td style="width: 33%;">Mark A. Superko</td> <td style="width: 10%;">34,027</td> </tr> <tr> <td>Robert S. Swecker</td> <td>19,885</td> <td>William H. Benz</td> <td>25,952</td> <td>Susan E. Heminger</td> <td>36,449</td> </tr> <tr> <td>Piaton N. Mandros</td> <td>22,124</td> <td>Michael G. Savage</td> <td>32,596</td> <td>Mark Aaker</td> <td>32,667</td> </tr> <tr> <td>Alan E. Kopecki</td> <td>25,813</td> <td>Steven S. Payne</td> <td>35,316</td> <td>Paul D. Carmichael</td> <td>18,679</td> </tr> <tr> <td>Samuel C. Miller, III</td> <td>27,360</td> <td>Michael J. Ure</td> <td>33,089</td> <td>Vernon Randall Gard</td> <td>33,886</td> </tr> <tr> <td>James A. LaBarre</td> <td>28,632</td> <td>Charles F. Wieland III</td> <td>33,096</td> <td>David J. Larwood</td> <td>33,191</td> </tr> <tr> <td>James W. Peterson</td> <td>26,057</td> <td>Harold R. Brown III</td> <td>36,341</td> <td>Richard Liu</td> <td>34,377</td> </tr> <tr> <td>Robert E. Krebs</td> <td>25,885</td> <td>Kenneth B. Leffler</td> <td>36,075</td> <td>Robert T. Martin</td> <td>32,426</td> </tr> <tr> <td>T. Gene Dillahunty</td> <td>25,423</td> <td>Allen R. Baum</td> <td>36,086</td> <td>Helene Plotka Workman</td> <td>35,981</td> </tr> <tr> <td>Anthony W. Shaw</td> <td>30,104</td> <td>Steven M. duBois</td> <td>35,023</td> <td>Nancy R. Simon</td> <td>36,930</td> </tr> </table>					William L. Mathis	17,337	Patrick C. Keane	32,858	Mark A. Superko	34,027	Robert S. Swecker	19,885	William H. Benz	25,952	Susan E. Heminger	36,449	Piaton N. Mandros	22,124	Michael G. Savage	32,596	Mark Aaker	32,667	Alan E. Kopecki	25,813	Steven S. Payne	35,316	Paul D. Carmichael	18,679	Samuel C. Miller, III	27,360	Michael J. Ure	33,089	Vernon Randall Gard	33,886	James A. LaBarre	28,632	Charles F. Wieland III	33,096	David J. Larwood	33,191	James W. Peterson	26,057	Harold R. Brown III	36,341	Richard Liu	34,377	Robert E. Krebs	25,885	Kenneth B. Leffler	36,075	Robert T. Martin	32,426	T. Gene Dillahunty	25,423	Allen R. Baum	36,086	Helene Plotka Workman	35,981	Anthony W. Shaw	30,104	Steven M. duBois	35,023	Nancy R. Simon	36,930
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<p>and: _____</p>																																																																
<p>Address all correspondence to: <b>James W. Peterson, Esq.</b>  <b>Burns, Doane, Swecker &amp; Mathis</b>          699 Prince Street          Alexandria, Virginia 22314-3187</p>																																																																
<p>Address all telephone calls to: <u>Michael J. Ure, Esq.</u> at (415) 854-7400.</p>																																																																
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>																																																																
FULL NAME OF SOLE OR FIRST INVENTOR		SIGNATURE		DATE																																																												
James D. KELLY				6 Apr 97																																																												
RESIDENCE		CITIZENSHIP																																																														
7619 Willowbrook Lane, #100, Aptos, California 95003		United States																																																														
POST OFFICE ADDRESS																																																																
2619 Willowbrook Lane, #100, Aptos, California 95003																																																																
FULL NAME OF SECOND JOINT INVENTOR, IF ANY		SIGNATURE		DATE																																																												
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POST OFFICE ADDRESS																																																																

**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UTILITY PATENT APPLICATION**

Attorney Docket No.

P2080/445

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

I BELIEVE I AM THE ORIGINAL, FIRST AND SOLE INVENTOR (if only one name is listed below) OR AN ORIGINAL, FIRST AND JOINT INVENTOR (if more than one name is listed below) OF THE SUBJECT MATTER WHICH IS CLAIMED AND FOR WHICH A PATENT IS SOUGHT ON THE INVENTION ENTITLED:

BUS TRANSACTION REORDERING IN A COMPUTER SYSTEM HAVING UNORDERED SLAVES

the specification of which

(check one)

☐ is attached hereto;

☒ was filed on January 7, 1997, as

Application No. 08/779,632

and was amended on \_\_\_\_\_;  
(if applicable)

and was amended on \_\_\_\_\_;  
(if applicable)

I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE;

I ACKNOWLEDGE THE DUTY TO DISCLOSE TO THE OFFICE ALL INFORMATION KNOWN TO ME TO BE MATERIAL TO PATENTABILITY AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, Sec. 1.56 (as amended effective March 16, 1992);

I do not know and do not believe the said invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to said application; that said invention was not in public use or on sale in the United States of America more than one year prior to said application; that said invention has not been patented or made the subject of an inventor's certificate issued before the date of said application in any country foreign to the United States of America on any application filed by me or my legal representatives or assigns more than twelve months prior to said application;

I hereby claim foreign priority benefits under Title 35, United States Code Sec. 119 and/or Sec. 365 of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application(s) on which priority is claimed:

Patent

Attorney's Docket No. P2080/445

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
James D. Kelly )  
Application No.: 08/779,632 ) Group Art Unit: Unassigned  
Filed: January 7, 1997 ) Examiner: Unassigned  
For: BUS TRANSACTION REORDERING ) BOX DAC  
IN A COMPUTER SYSTEM HAVING ) Attention: Office of Petitions  
UNORDERED SLAVES )

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(e) dated March 20, 1997, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the  
surcharge of ☐ \$65.00 ☒ \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☒ other Petition to Withdraw Holding of Abandonment - MPEP §711.03(c);
- ☐ a check in the amount of \$\_\_\_ is enclosed for the missing parts surcharge; and
- ☒ charge \$ 130.00 to Deposit Account No. 02-4800 for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.  
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment to  
Deposit Account No. 02-4800. This paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER &amp; MATHIAS

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 854-7400

By: Michael J. Ure  
Michael J. Ure

Registration No. 33,089

Date: April 13, 1998



Burns, Doane, Swecker &amp; Machip

Date: MAY 20 97 Page 29

## FULL PROMPTS

MAY 20 97 N	030792-218	Foreign Filings Due	[REDACTED]	[REDACTED]	BPL RMP	US
		<i>Not doing per BNI</i>				
MAY 20 97 N	618,249	CORR RENEWAL CERT ?	[REDACTED]	[REDACTED]	NHS ETC ALN	US
MAY 20 97 P	001767-002	Priority document	[REDACTED]	[REDACTED]	REK	EU
MAY 20 97 F	001767-002	Assignment	[REDACTED]	[REDACTED]	REK	EU

Doc AY 20 97 Page 20

## FULL PROMPTS

DATE	FILE NO.	FILE TYPE	FILE DESCRIPTION	FILE STATUS	FILE ACTION	FILE COMMENTS
MAY 20 97 F	015209-045	File Application By	[REDACTED]	[REDACTED]	[REDACTED]	NMS TSP. US
MAY 20 97 F	003780-031	File Application By	[REDACTED]	[REDACTED]	[REDACTED]	WLM RCE. US
MAY 20 97 N	008855-085	Annuity Due	[REDACTED]	[REDACTED]	[REDACTED]	NLS EP
MAY 20 97 N	008855-038	Status Inquiry	[REDACTED]	[REDACTED]	[REDACTED]	NLS CE
MAY 20 97 N	012889-046	Status Inquiry	[REDACTED]	[REDACTED]	[REDACTED]	TSK MMJ. US
MAY 20 97 N	011525-201	Expiry Date	[REDACTED]	[REDACTED]	[REDACTED]	RES CA
MAY 20 97 N	030959-009	1.633(1)(j) MOTIONS	[REDACTED]	[REDACTED]	[REDACTED]	BOS
MAY 20 97 F	024938-040	Resp. to Opinion	[REDACTED]	[REDACTED]	[REDACTED]	REK MJU. WO
MAY 20 97 F	026579-028	Resp. to Opinion	[REDACTED]	[REDACTED]	[REDACTED]	URS LAM. WO
MAY 20 97 N	028574-515	Art. 19 Amdt-In WIPO	[REDACTED]	[REDACTED]	[REDACTED]	REK. WO
MAY 20 97 N	020574-501	Art. 19 Amdt-In WIPO	[REDACTED]	[REDACTED]	[REDACTED]	REK CHJ. WO
MAY 20 97 N	027555-763	Art. 19 Amdt-In WIPO	[REDACTED]	[REDACTED]	[REDACTED]	RLG KBL. WO
MAY 20 97 N	027555-761	Art. 19 Amdt-In WIPO	[REDACTED]	[REDACTED]	[REDACTED]	RLG SMD AGE. WO
MAY 20 97 N	006610-020	Publication Date	[REDACTED]	[REDACTED]	[REDACTED]	ALW. US
MAY 20 97 N	003277-009	Publication Date	[REDACTED]	[REDACTED]	[REDACTED]	RLC ALW. US
MAY 20 97 N	028443-013	Publication Date	[REDACTED]	[REDACTED]	[REDACTED]	RLC ALW. US
MAY 20 97 N	019952-078	Publication Date	[REDACTED]	[REDACTED]	[REDACTED]	PRM ALW. US
MAY 20 97 N	020775-027	Publication Date	[REDACTED]	[REDACTED]	[REDACTED]	BPL. US
MAY 20 97 .	008317-066	1st O.A. Date	[REDACTED]	[REDACTED]	[REDACTED]	REK. US
MAY 20 97 F	008317-066	Foreign Filings Due	[REDACTED]	[REDACTED]	[REDACTED]	REK. US
MAY 20 97 .	008317-065	1st O.A. Date	[REDACTED]	[REDACTED]	[REDACTED]	REK. US
MAY 20 97 F	008317-065	Foreign Filings Due	[REDACTED]	[REDACTED]	[REDACTED]	REK. US
MAY 20 97 .	000317 064	1st O.A. Date	[REDACTED]	[REDACTED]	[REDACTED]	REK. US
MAY 20 97 F	008317-064	Foreign Filings Due	[REDACTED]	[REDACTED]	[REDACTED]	REK. US
MAY 20 97 F	010822-014	Foreign Filings Due	[REDACTED]	[REDACTED]	[REDACTED]	BPL IMF. US
MAY 20 97 .	030792-218	1st O.A. Date	[REDACTED]	[REDACTED]	[REDACTED]	BPL IMF. US

Burns, Doane, Swecker &amp; Mathis Date: 09/20/97 Page: 27

## FULL PROMPTS

MAY 20 97 N	017751-010	Foreign Filings Due	[REDACTED]	[REDACTED]	RDH DMM	US
MAY 20 97 N	020900-292	1st O.A. Resp Due	[REDACTED]	[REDACTED]	ABK	US
MAY 20 97 E	01A961-013	1st O.A. Resp Due	[REDACTED]	[REDACTED]	PNM MLS	US
MAY 20 97 N	022650-344	Grant Date	[REDACTED]	[REDACTED]	NHS MKB	US
MAY 20 97 N	014975-032	1st O.A. Resp Due	[REDACTED]	[REDACTED]	RLG WCR	US
MAY 20 97 N	024705-042	1st O.A. Resp Due	[REDACTED]	[REDACTED]	BJC BJB	US
MAY 20 97 N	012778-054	Grant Date	[REDACTED]	[REDACTED]	PNM MLS	US
MAY 20 97 N	028618-045	Examiner's Answer	[REDACTED]	[REDACTED]	WHB	US
MAY 20 97 N	015800-122	REC'D CORR OFR YET?	[REDACTED]	[REDACTED]	NHS	US
MAY 20 97 N	024444-295	CORR FIL RECT REC'D	[REDACTED]	[REDACTED]	RLG ABK	US
MAY 20 97 N	020325-083	CORR FIL RECT REC'D	[REDACTED]	[REDACTED]	DSD	US
MAY 20 97 N	001560-280	REC'D CORR OFR YET?	[REDACTED]	[REDACTED]	RLG USD	US
MAY 20 97 N	001560-279	REC'D CORR OFR YET?	[REDACTED]	[REDACTED]	RLG BSD	US
MAY 20 97 N	001560-278	REC'D CORR OFR YET?	[REDACTED]	[REDACTED]	RLG RSD	US
MAY 20 97 N	001560-277	REC'D CORR OFR YET?	[REDACTED]	[REDACTED]	RLG DSD	US
MAY 20 97 E	022650-367	Declaration Due	[REDACTED]	[REDACTED]	NHS MKB	US
MAY 20 97 N	027575-036	REM-3 mo IDS	[REDACTED]	[REDACTED]	RLG TMB	US
MAY 20 97 N	010315-026	3-Mos Rem: IDS DUE	[REDACTED]	[REDACTED]	RLG MLS	US
MAY 20 97 N	018655-981	1-Mos Rem: IDS	[REDACTED]	[REDACTED]	PNM TRW	US
MAY 20 97 N	018655-981	2-Mos Rem: DECL DUE	[REDACTED]	[REDACTED]	PNM TRW	US
MAY 20 97 N	012778-061	MISSING PARTS REC'D	[REDACTED]	[REDACTED]	PNM MLS	US
MAY 20 97 N	012778-063	REM: 3-MONTH IDS	[REDACTED]	[REDACTED]	PNM MLS	US
MAY 20 97 N	021565-020	NOT MISS PART REC'D ?	[REDACTED]	[REDACTED]	RDH RMS	US
MAY 20 97 N	012880-003	REM 3mo IDS	[REDACTED]	[REDACTED]	RDH RMS	US
MAY 20 97 N	012712-353	REM 3mo IDS	[REDACTED]	[REDACTED]	BGC RLT	US
MAY 20 97 N	018976-054	NOT MISS PART REC'D ?	[REDACTED]	[REDACTED]	PNM CFW	US
MAY 20 97 N	027556-147	File Application By	[REDACTED]	[REDACTED]	RLG TMB	US

ext 1 mo per TMB

Burns, Doane, Swecker &amp; Mathis

Date: .Y 20 97 Page 26

## FULL PROMPTS

Date	Case No.	Description	Agency	Status
MAY 20 97 N	012889-027	1st O.A. Resp Due	RGM TSR	US
MAY 20 97 F	004580-281	Notice of Appeal Due	JWP SMD JAL	US
MAY 20 97 N	027260-129	Grant Date	PNM RES	US
MAY 20 97 N	023833-013	Appeal Brief Due	REK	US
MAY 20 97 N	029650-053	CERT OF CORR REC'D	PNM RCM	US
MAY 20 97 N	000515-047	Grant Date	RLG MCS AFB	US
MAY 20 97 F	029650-058	Issue Fee	PNM MLC	US
MAY 20 97 N	029650-058	FILE DIV APPL	PNM MLC	US
MAY 20 97 N	001580-295	1st O.A. Resp Due	JWP JAL	US
MAY 20 97 N	025800-194	1st O.A. Resp Due	RLG MLC	US
MAY 20 97 N	000515-052	Grant Date	RLG MCS	US
MAY 20 97 N	014625-188	1st O.A. Resp Due	NHS	US
MAY 20 97 N	018976-013	1st O.A. Resp Due	PNM CFW	US
MAY 20 97 N	003100-162	2nd O.A. Resp Due	BSD HRB	US
MAY 20 97 F	003277-004	FILE USA APPL?	RLG BSD	US
MAY 20 97 N	003277-004	Foreign Filings Due	PLS RSN	US
MAY 20 97 F	018976-021	1st O.A. Date	PNM CFW	US
MAY 20 97 N	016499-116	RESUBMIT ASSIGNMENT	SJG MLC	US
MAY 20 97 N	006523-106	Grant Date	RHM WCR	US
MAY 20 97 F	027415-003	1st O.A. Date	MTI	US
MAY 20 97 N	031211-009	MISSING PARTS REC'D	FCM PCK	US
MAY 20 97 N	018791-174	Grant Date	RGM	US
MAY 20 97 N	022701-671	1st O.A. Resp Due	NHS	US
MAY 20 97 N	030662-019	Grant Date	PNM CFW	US
MAY 20 97 N	001536-008	Await Missing Parts?	TSR MCM	US
MAY 20 97 N	031945-010	1st O.A. Resp Due	JWP PCK	US
MAY 20 97 F	017751-010	FILE USA APPL?	RHM MCM	US

Not filing per F.D.T./DMM

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## FULL PROMPTS

MAY 20 97 E	003300-342	Appeal Brief Due	[REDACTED]	[REDACTED]	BSD TSR DMH	US
		<i>2 left</i>				
MAY 20 97 N	001580-189	Grant Date	[REDACTED]	[REDACTED]	JWP MJU JAL	US
MAY 20 97 N	012978-010	1st O.A. Resp Due	[REDACTED]	[REDACTED]	TGD ARB	US
		<i>12/4</i>				
MAY 20 97 N	004955-020	HAS NEW OA BEEN RECD	[REDACTED]	[REDACTED]	JAL	US
MAY 20 97 N	029300-260	Correct title on non	[REDACTED]	[REDACTED]	REK MJU	US
MAY 20 97 N	004000 047	Grant Date	[REDACTED]	[REDACTED]	PIA SSP	US
MAY 20 97 E	024703-013	Appeal Brief Due	[REDACTED]	[REDACTED]	EJC RCB	US
		<i>22/4</i>				
MAY 20 97 N	027545-166	Grant Date	[REDACTED]	[REDACTED]	RLG SSP	US
MAY 20 97 N	027545-154	Grant Date	[REDACTED]	[REDACTED]	RLG SMD	US
MAY 20 97 F	006050-341	Appeal Brief Due	[REDACTED]	[REDACTED]	NVC ARB	US
		<i>Exp mailed 5/19/97</i>				
MAY 20 97 N	022701-645	2nd O.A. Resp Due	[REDACTED]	[REDACTED]	MIC PKB JDB	A
MAY 20 97 N	006050-308	REC'D CORR OFR YET?	[REDACTED]	[REDACTED]	NHS ARB	US
MAY 20 97 F	027550-519	Issue Fee	[REDACTED]	[REDACTED]	RSS	US
		<i>f 5/20/97</i>				
MAY 20 97 F	005778-001	Notice of Appeal Due	[REDACTED]	[REDACTED]	WLM JAL	US
		<i>late</i>				
MAY 20 97 N	027545-031	1st O.A. Resp Due	[REDACTED]	[REDACTED]	RLG SSP MAW	US
		<i>12/4</i>				
MAY 20 97 N	001300-118	Grant Date	[REDACTED]	[REDACTED]	BSD PKB	US
MAY 20 97 N	018793-155	Grant Date	[REDACTED]	[REDACTED]	KGM	US
MAY 20 97 N	018793-155	CORR FIL RECT REC'D	[REDACTED]	[REDACTED]	RGM	US
MAY 20 97 N	001880-018	Appeal Brief Due	[REDACTED]	[REDACTED]	PNM RST	US
		<i>12/4</i>				
MAY 20 97 N	001880-018	FILE FNC APPL	[REDACTED]	[REDACTED]	PNM RST	US
MAY 20 97 N	019236-024	Grant Date	[REDACTED]	[REDACTED]	TSR RLT	US
MAY 20 97 E	017750-265	Notice of Appeal Due	[REDACTED]	[REDACTED]	FCM AWS	US
		<i>3 left</i>				
MAY 20 97 N	005950-477	1st O.A. Resp Due	[REDACTED]	[REDACTED]	RLP GFS	US
		<i>1 left</i>				
MAY 20 97 N	017750-223	CERT CORR RECD ?	[REDACTED]	[REDACTED]	FCM WRB	US
MAY 20 97 N	017753-009	Grant Date	[REDACTED]	[REDACTED]	NLS TSR MCM	US
MAY 20 97 N	001970-046	Grant Date	[REDACTED]	[REDACTED]	PNM SMD	US
MAY 20 97 N	004925-017	1st O.A. Resp Due	[REDACTED]	[REDACTED]	RLG BJB JDB	US
		<i>12/4</i>				

**FULL PROMPTS**

FULL PROMPTS			BSD RCE	US
MAY 19 97 N	000705-033	CERT OF CORR REC'D	NHS MKB	US
MAY 19 97 N	022650-378	NOT MISS PART RECD ?	NHS	US
MAY 19 97 N	016000-094	Filing Receipt Rec'd	NHS	US
MAY 19 97 N	005679-133	Filing Receipt Rec'd	RIA SSP	US
MAY 19 97 N	010315-020	Filing Receipt Rec'd	NHS AEK	US
MAY 19 97 N	030676-008	CORR PIL RECT REC'D	NHS	US
MAY 19 97 N	016800-120	RECD CORR FR YET?	RLG EMD	US
MAY 19 97 N	027555-076	Filing Receipt Rec'd	RSS	US
MAY 19 97 N	004500-055	CORRECTED OFR YET?	RSS	US
MAY 19 97 N	004500-865	CORRECTED OFR YET?	BNM RSJ	US
MAY 19 97 N	027260-153	Await Corr FR?	RLG MLS	US
MAY 19 97 N	021944-051	Await Missing Parts?	RSS	US
MAY 19 97 N	027650-651	NOT MISS PART RECD ?	RLG	US
MAY 19 97 N	4159138	Expiry Date	RLG SSP	IN
MAY 19 97 N	027540-193	Response to OA Due	RLG RLT EAK	LT
MAY 19 97 F	017712-385	Declar. of Inventors	TSR MKM	C
MAY 19 97 N	012889-045	Status Inquiry	TSR MKM	D
MAY 19 97 N	012889-050	Status Inquiry	NHS MKB MKM	
MAY 19 97 N	022650-331	Start Natl. Filings?	NHS MKB MKM	
MAY 19 97 N	022650-332	Start Natl. Filings?	PEK	
MAY 19 97 F	024918-038	Resp to Opinion	ALW	
MAY 19 97 N	023021-048	STATUS CHECK	REK	
MAY 19 97 N	008117-050	1st O.A. Date	REK	
MAY 19 97 N	031137-001	1st O.A. Date	REK	
MAY 19 97 N	031137-003	Priority document	REK	
MAY 19 97 N	031137-002	Priority document	REK	
MAY 20 97 N	031216-007	REM 3 mo IDS	WAK	


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/27/97 01/20/97 P111

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0247/0320

BURNS DOANE SWECKER AND MATHIS

NOT ASSIGNED

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2302  
DATE MAILED:

03/20/97

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3 & 8 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependant claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

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